



# Fifth Program Year CAPER

The CPMP Fifth Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

## GENERAL

### Executive Summary

This module is optional but encouraged. If you choose to complete it, provide a brief overview that includes major initiatives and highlights that were proposed and executed throughout the first year.

Program Year 5 CAPER Executive Summary response:

The North Country HOME Consortium is entering its 17<sup>th</sup> year of operation. The previous 16 years have proven the Consortium to be an extremely valuable asset in combating the tremendous housing needs in Jefferson, Lewis, and St. Lawrence Counties. In total, over \$23 million has been committed to assist in excess of 1,493 housing units. As local, state, and federal resources continue to shrink over time, federal HOME funds become even more significant to the region.

The Consortium is pleased to report that 90%, or 104 of 115, of towns and villages opted into the Consortium during its reauthorization process in 2009. The process entailed receiving signed resolutions from all towns and villages opting into the Consortium for a three year period. This demonstrates a strong demand by municipalities for this program.

The Consolidated Plan serves as a planning tool for the development of affordable housing within the Consortium. This plan outlines the significant need for assistance in the areas of owner occupied rehabilitation, homebuyer assistance, and rental rehabilitation. It was estimated that over 4,517 substandard units were owned and occupied by families at low/moderate income levels. It was established that at least 3,258 substandard rental units occupied by low/moderate income families were in need of rehabilitation. Finally, median housing prices, when compared to family incomes for those families falling below the moderate income level, suggest that without some type of assistance, many families within the Consortium would never realize the dream of owning their own home.

The majority of the units assisted with HOME funds in the Consortium from program year 1994 through 2009/2010 have been for owner-occupied rehabilitation projects. Of the 1,590 total units assisted with HOME funds in the Consortium over the past 16 years, 831 units were owner-occupied rehabilitation, 433 units were rental rehabilitation, and 326 units were homebuyer assistance projects. All activities were set forth in the previous year's plans as top priorities within the

Consortium. The majority of the funds set-aside for CHDO activities has been for rental rehabilitation projects and for Homebuyer Assistance Programs.

This is a very dynamic time for the Consortium. Jefferson County has been in the midst of its largest population expansion since 1988. In the summer of 2004, the Army installation at Fort Drum was assigned a third combative unit of approximately 6,000 new soldiers for a new end strength of approximately 19,000. Approximately 42% of these soldiers will come with families.

As reported in the past few years, the housing market in our region is constantly changing and needs are growing due to the military population and its active role in our war against terror. Fort Drum which now has three brigades and a troop deployment schedule where typically two brigades are here in Fort Drum with one brigade deployed. We anticipate for the first time in 2012 that all three brigades will be stationed here in the North Country for an extended period of time, which will create a housing shortage of somewhere between 1200 and 1700 units.

The spreadsheet below identifies 639 units of housing that is in the mix for the future, As detailed these project are either under construction, ready for construction pending final funding commitments and projects that have been designed with approvals pending financing. A challenge we and all of the country is that due to the weak economy, banks are apprehensive to fund housing. The community is working diligently with the Army and the Army's housing partners on coming up with solutions to meet the proposed future needs. Market conditions which are currently tight are going to become tighter as time moves ahead. The continued use of HOME funds has been a part of the overall community effort in regards to improving housing.

### Projected Housing Production

	By Dec 2010	Jan 2011 through Jun 2011	Jul 2011 through Dec 2011	Jan 2012 through Jun 2012	Jul 2012 through Dec 2012	Total
<b>Projects Under Construction</b>						
RCI	212	92				304
Franklin Building						
Eagle Ridge Phase III						
<b>Projects Ready for Construction (Pending Final Funding Commitments)</b>						
Eagle Ridge Phase IV		20	100	80		200
Creekwood Apartments						
<b>Projects Designed with Approvals (Pending Financing)</b>						
Jefferson Apartments				100	35	135
<b>Totals</b>	<b>212</b>	<b>112</b>	<b>100</b>	<b>180</b>	<b>35</b>	<b>639</b>

Several events are continuing within these areas that are causes for concern to the Consortium.

First, soldiers are moving into these areas with higher disposable incomes than existing homebuyers or renters. This has driven the purchase price for existing homes to market highs. (2005 median sales price for single family home single family homes - \$89,700 -> 2010 median sales price of \$ 130,000) Even with subsidies, it is making it very difficult for very low and low income families to achieve

homeownership. A solution that the Consortium will consider is new construction for single-family homes within the Fort Drum areas as it has been determined that the existing market cannot meet the demand of the affordable population.

A second event occurring within this market is an increase in market rents. Soldiers are coming into this housing market with a basic allowance for housing that they can use at their discretion. This allowance for housing, in many cases, is higher than the existing market rents. Logically, market rents have increased significantly over the past six months. This is making it very difficult for low and moderate income families to afford rental units in the market. In addition, the existing affordable rental units within the Fort Drum area are experiencing 100% occupancies and long waiting lists. Again, a solution that the Consortium will consider is new construction of multi-family rental units within the Fort Drum area as it has been determined that the existing market cannot meet the needs of the affordable population.

The inadequate supply of housing units is pressuring market rents and housing prices to increase. This will make it much more difficult for affordable populations to rent or own homes in this area. Until new units are built and supply reaches demand, this issue will be prevalent.

The Consortium will be using its resources and working with public service agencies to help low and moderate income populations affected in this market area. HOME funds will continue to be directed to owner-occupied rehabilitation, homebuyer assistance, and rental rehabilitation with preference given to minority populations. With that said, a focus on owner occupied rehabilitation may be the Consortium's priority in the next Annual Plan.

## **General Questions**

1. Assessment of the one-year goals and objectives:
  - a. Describe the accomplishments in attaining the goals and objectives for the reporting period.
  - b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.
  - c. If applicable, explain why progress was not made towards meeting the goals and objectives.
2. Describe the manner in which the recipient would change its program as a result of its experiences.
3. Affirmatively Furthering Fair Housing:
  - a. Provide a summary of impediments to fair housing choice.
  - b. Identify actions taken to overcome effects of impediments identified.
4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.
5. Leveraging Resources
  - a. Identify progress in obtaining "other" public and private resources to address needs.

- b. How Federal resources from HUD leveraged other public and private resources.
- c. How matching requirements were satisfied.

Program Year 5 CAPER General Questions response:

1a.) The Consortium recently completed its 2009/2010 HOME Program Year with several accomplishments. Appendix E outlines those accomplishments that include, by family income and racial composition, the total number of households assisted over the past year. Of the 92 households that were assisted with HOME funds, 76 were considered very low income. This reveals that HOME funds are reaching the neediest within the Consortium and, as in the past, the housing needs of the very-low incomes are a priority of the North Country HOME Consortium. Additionally 16 homeowners were first time homebuyers. This number represents a large number of individuals who were able to purchase their first home from the existing housing stock within their community. These successes are expected to continue as reflected in the 2010 HOME awards.

1b.) the table on the next page demonstrates the goals and objectives that were met at the end of HOME program year 2010. 92 units were completed out of a proposed 89 units.

Actual Use of 2009-2010 HOME Funds by Project Type				
Activity	Objective	Total Home \$	# Units Proposed	# Units Completed
Owner-Rehab	Decent Housing	\$486,562	18	41
Rental Rehab	Decent Housing	\$230,000	62	35
Direct Homeownership Assistance	Decent Housing	\$281,044	9	16
ADDI	Decent Housing	\$0	0	0
Administration	N/A	\$51,119	-0-	-0-
Total	100%	\$1,048,725	89	92

As mentioned, Appendix D (PR 23) goes into greater detail about the households and persons assisted with HOME housing funds in HOME Program Year 2009.

1c.) as you can see, there was no problem in meeting the goals and objectives set forth by our consolidated plan. All HOME funds are targeted toward households with the greatest need as 83 % of all homeowners assisted had incomes below 60% of the MFI.

2) Over the past 16 years, the North Country HOME Consortium has formalized a process in which to successfully evaluate potential housing projects to maximize the use of the HOME funds within the Consortium. The nine member administrative board is made up of three representatives from each of the three participating counties. The administrative board is responsible for establishing policy and guidelines for the Consortium. In addition, this board is charged with selecting and awarding HOME funds to applicants from the annual competitive process. There is no need to alter this process or our program.

3a) the three Counties that make up the Consortium (Jefferson, Lewis and St. Lawrence) conducted an in-depth Analysis of Impediments to Fair Housing Choice in 1999 and have continued to evaluate the issues identified through the individual Counties housing agency networks. An Updated Analysis was completed in September 2007.

In general, the fair housing issues common in all three Counties are more closely related to income, as opposed to race, color, gender, religion, age, etc. For example, in all three Counties, there appears to be a direct correlation between affordability and lack of quality housing and units for larger families and/or households. Other common issues identified were lack of adequate public transportation and a need for more education concerning housing and housing programs - another frequently identified issue related to special needs populations.

This issue was most often raised in the context of disabled persons and the lack of accessible housing units.

3b) as discussed above, the most significant impediments to fair housing choice in the three Counties that comprise the Consortium are related to lower incomes. The implementation of the HOME program has been significant in addressing these issues. In the five years since the Counties conducted their Analysis of Impediments, the Consortium has spent over \$8 million to assist lower income families and households. Over 70% of these funds have been used to rehabilitate owner and renter occupied units. This program has obviously had an impact on the quality of housing issue. In addition, HOME grant awardees, more often than not, leverage their projects with other funds and, during this same time period, over \$3.7 million in additional State and Federal funds have been directed toward alleviating the aforementioned housing impediments.

The Points North Housing Coalition which was formed in 2004 to concentrate on special needs and homeless housing issues. The Coalition is comprised of housing and human service agencies from the Jefferson/Lewis/St. Lawrence County region. The NCHC is also represented on the Coalition and relies on input from the Coalition to address special needs, homeless and continuum of care issues in its Consolidated Plan.

All three Counties that comprise the Consortium remain active in addressing the issues first identified in the Analysis of Impediments either through a Fair Housing Task Force or a contract for Fair Housing Services with a not-for-profit agency. Through these activities, the Counties participate in Fair Housing Month activities, maintain information and referral services, participate in fair housing workshops and round tables, and revisit the Impediments issues.

4) There does not appear to be any obstacles to meeting underserved needs in the Consortium. We leverage all Federal and State funds to meet the greatest need across the region.

5) Federal Level

The Development Authority of the North Country was working with USDA to identify housing programs that can be used to construct housing for the military and nonmilitary population. In order to improve the affordability issues in our communities, we need to increase the stock of housing. We anticipated a deficit of 2,000 housing units. Until supply meets demand, there is concern that the cost burden on the low and very low incomes will continue to increase. Good progress has been made through a number of public and private efforts to meet the added housing need due to the expansion of troops at Fort Drum, reducing the housing number substantially once the projects that are either in construction or development are completed.

Norstar Development USA also received an additional Federal low income housing tax credit award in the amount of \$291,856 as part of the \$19 million dollar financing package to construct a 96-unit rental project also (in the City of Watertown) called Creek Wood. Financing of this project is still being coordinated due to the changes in the financial markets over the past year.

## State Level

Housing Organizations and municipalities within the Consortium pursue a variety of State funded housing opportunities through:

- New York State Community Office of Community Renewal
- New York State Affordable Housing Corporation

To provide assistance to low and moderate income household housing assistance through:

- DHCR HOME Program
- DHCR Housing Trust Fund Program
- DHCR Access to HOME Program
- DHCR Restore Program
- OCR Community Development Block Grant Program
- AHC's Home Improvement Program

Appendix E provides an explanation of the match liability incurred during the past year and additional match accrued for future use. In 2009/2010, the Consortium's match was reduced 50% by HUD to 12.5% due to a per capita income of less than \$15,816.

## Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

Program Year 5 CAPER Managing the Process response:

Participation from the private sector has been fostered through the continued involvement from the Consortium's HOME Advisory Board (see list in Appendix B). The Board is made up of representatives of private non-profit housing organizations, as well as human services agencies. Input concerning housing needs and feedback about the use of HOME funds are provided by this group. In addition, the Consortium holds public hearings and provides legal notices in the newspaper to receive additional comments on the local HOME process. The Consortium's funding process has been developed in order to improve, to the greatest extent possible, the housing needs in the three County region. Significant involvement by the private sector and the development of public/private partnerships has played a key role in the ongoing success of this Consortium

## Citizen Participation

1. Provide a summary of citizen comments.
2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the

reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

\*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Program Year 5 CAPER Citizen Participation response:

1. This document was put out for public comment on September 14, 2010. No comments were received.

2. The Consortium received an allocation of Federal HUD HOME funds totaling \$1,280,176 in HOME Program Year 2010. The table below identifies the amount of funds received, the total amount of funds committed, and the geographic distribution.

Awardee		Program Type	Units
St Lawrence County Housing Council	\$130,000	HB	6
Snow Belt Housing - South	\$129,775	OOR	4
St Lawrence County Housing Council	\$115,000	OOR	8
City Of Watertown	\$115,000	OOR	6
Snow Belt Housing	\$115,000	RR	6
Louisville HDFC	\$180,624	RR	18
Frontier Housing	\$115,000	RR	10
North Country Affordable Housing	\$134,754	HB	3
Neighbors of Watertown	\$193,904	CHDO HB	6
Jefferson County & DANC	\$51,119	Admin	0
	\$1,280,176		67

## Institutional Structure

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

Program Year 5 CAPER Institutional Structure response:

1. The lead County, Jefferson, has a sub recipient agreement with the Development Authority of the North Country to oversee the daily operations of the Consortium as defined in the agreement. Annually, Jefferson County monitors the performance of DANC through an on-site inspection of records, reports, and interviews with staff.

DANC annually enters into contracts with individual awardees to deliver the HOME-funded programs. Annually, DANC and the lead County, Jefferson, perform

on-site inspections to review files, records, and interview staff for compliance with the program requirements. Due to the physical locations of some of the recipients of funds, if a recipient has an acceptable review from the previous year, then an off-site program review may be considered for the following year.

Lead-based Paint:

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

Program Year 5 CAPER Lead-Based Paint Response:

The Consortium will continue to work with the Rural Housing Providers to increase the stock of certified lead based paint contractors and inspectors. In recent years, we have increased the level of HOME assistance in owner-occupied and rental rehabilitation projects due to the increase in costs associated with the mitigation of lead hazards. The Consortium will continue to take steps to remove lead hazards within our existing housing stock.

## **Monitoring**

1. Describe how and the frequency with which you monitored your activities.
2. Describe the results of your monitoring including any improvements.
3. Self Evaluation
  - a. Describe the effect programs have in solving neighborhood and community problems.
  - b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.
  - c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.
  - d. Indicate any activities falling behind schedule.
  - e. Describe how activities and strategies made an impact on identified needs.
  - f. Identify indicators that would best describe the results.
  - g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.
  - h. Identify whether major goals are on target and discuss reasons for those that are not on target.
  - i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

Program Year 5 CAPER monitoring response:

1. Jefferson County and DANC have visited awardees in Program Year 2009/2010 to monitor them for compliance and review program administration. Below is a listing of monitoring activity. No findings to note; we continue to work with all recipients to fine tune program delivery to ensure program compliance and effectiveness.

2.

<u>North Country HOME Consortium</u>	<u>2009 2010 Monitoring</u>	<u>Results</u>
North Country Affordable Housing	2009 MHRP	No Findings-Site
Snow Belt Housing	2009 OOR	No Findings
	2009 RR	No Findings
Neighbors of Watertown	2007 CHDO HB	No Findings
City of Watertown	2008 OOR	No Findings
St. Lawrence County Housing Council	2008 OOR	No Findings
	2009 HB	No Findings
Bugbee HDFC	2007 RR	No Findings - Desk Review
	2008 RR	No Findings - Desk & Site
Henderson HDFC	2006 RR	No Findings - Desk
Frontier Housing	2009 RR	No Findings

Awardees visited were knowledgeable and helpful in regard to the Program and there were no glaring deficiencies within their Program delivery. We are still fine tuning our monitoring policies and procedures for coordinated monitoring of all awardees. It is our intent to ensure that all awardees are meeting the requirements of 24 CFR 92.

3a) The HOME funds used improve the quality of housing within targeted communities. Neighbors will typically look to rehabilitate their own homes if they see that the house next door was rehabilitated. This begins a trickle effect and, before long, an entire block of homes are rehabilitated. In addition, if contracts for the rehabilitation work go to local firms, the money paid to the contractor is reinvested back into the local community. The multiplier effect is critical in communities when local firms benefit.

3b) The Consortium is comprised of 104 of 115 municipalities in Jefferson, Lewis, and St. Lawrence Counties. It is our goal within the Consortium to target financial assistance to very low and low income families in communities throughout this area. We feel that our process ensures that this happens. As you can see by our results alone in HOME program year 2009/2010, over 83% of those assisted had very low incomes. The HOME funds provide these households with decent and affordable housing in a rural area of New York State.

3c) as mentioned above, many of the contracts for work completed on homes assisted with HOME funds are completed by local contractors. These contractors provide high quality workmanship and bring what are inhabitable homes to up to the New York State Building Code and HQS standards. These contractors then invest

their earnings within their own communities. They also purchase materials locally. This minor investment of HOME funds in a house not only results in decent, affordable housing to a family, but investment in the community.

3d) No activities are falling behind schedule.

3e) The Consortium uses its HOME funds for the following activities: owner-occupied rehabilitation, rental rehabilitation, and homebuyer assistance. While there are other eligible uses of these funds, the Consortium feels that this is the best use of these limited funds to meet the needs of the communities in the region. As such, we highly encourage the acquisition of homes from the existing housing stock. In doing so, we are encouraging households to invest in housing that might need work, but with investment and commitment, will be an asset to the homeowner and community. These activities only improve the quality of the housing and communities in our region.

3f) Primary indicators that would describe these results are the number of homes purchased from the existing stock with HOME funds to households that would not have otherwise been able to afford the homes. The increase in the tax rolls due to the investment in existing homes through rehabilitation. The stabilization of rents in communities where the market rate is rising is an indicator of investment in rental units using HOME funds.

3g) there were no perceived barriers to meeting the desired vision and strategies of the Consortium.

3h) all major goals are on target.

3i) with the assistance of HUD resources, the North Country HOME Consortium Administrative Board adopted a Policy for Monitoring Sub-recipients on September 12, 2006. The Policy was forwarded to all sub-recipients and will be implemented in 2007.

## **Lead-based Paint**

4. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

Program Year 5 CAPER Lead-based Paint Response:

The Consortium will continue to work with the Rural Housing Providers to increase the stock of certified lead based paint contractors and inspectors. In recent years, we have increased the level of HOME assistance in owner-occupied and rental rehabilitation projects due to the increase in costs associated with the mitigation of lead hazards. The Consortium will continue to take steps to remove lead hazards within our existing housing stock.

# HOUSING

## Housing Needs

\*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe Actions taken during the last year to foster and maintain affordable housing.

Program Year 5 CAPER Housing Needs response:

Over the past year, of the 92 households assisted by HOME funds, 76 were considered very-low income. This reveals that HOME dollars are reaching the neediest within the Consortium and, as in the past, the housing needs of the very-low income are a priority of the North Country HOME Consortium. Additionally, 16 homeowners were first-time homebuyers. This number represents a large number of individuals who were able to purchase their first homes from the existing housing stock within their community.

The North Country HOME Consortium requests proposals annually from member communities to fund eligible projects with HOME funds. As part of its scoring criteria, applications are given more points if they address the housing needs of persons with disabilities, the elderly, and persons with very-low incomes. As stated above, 83 % of the households assisted over the past year had very low incomes. This shows that our process is getting funds to the lowest incomes and those with the greatest needs.

The North Country HOME Consortium does not use its funds for tenant-based rental assistance. However, it works very closely with the Section 8 offices in all three Counties to provide rental assistance to those with severe cost burdens. In addition, the Points North Housing Coalition has received funding for Shelter Plus Care vouchers to assist the homeless. The Coalition serves the same three County region as the Consortium and consists of representatives from all three Counties. The North Country HOME Consortium is also represented on the Coalition. Together, with these resources and providing rehabilitation funds to landlords through the Consortium, we are able to provide affordable, quality rental housing to persons with very low incomes.

Over the past year there have been few instances of involuntary displacement. However, as our communities continue to grow with the expansion of Fort Drum, there is concern for this displacement. We will work with the Points North Housing Coalition with their continuum of care plan to address the needs of the homeless. We will also work to provide safe and affordable housing through our rehabilitation programs.

## Specific Housing Objectives

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-

income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.
3. Describe efforts to address “worst-case” housing needs and housing needs of persons with disabilities.

Program Year 5 CAPER Specific Housing Objectives response:

The table below shows the number of households assisted within the specified income groups. It also shows the breakdown of total households that received affordable housing assistance that met the Section 215 definition of affordable housing for rental and owner households.

Income Group	Renters	Existing Homeowners	Homebuyers	Total Section 215
0-30% MFI	30	12	2	44
31%-50% MFI	4	19	4	27
51%-80% MFI	1	10	10	21
Total Low Income	35	41	16	92

We are meeting goals in assisting the very low income populations. As part of our funding process we encourage applicants to assist the very low income populations. In fact, most awardees target over 50% of their funding award to assisting the very low income households. As you can see above, over 83% of households assisted were of very low incomes. This is well above our goal of 50%. In addition, HOME funds also target those households with disabilities and the elderly and large related households. We continue to target assistance to the high priority areas.

## Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

Program Year 5 CAPER Public Housing Strategy response:

The Consortium is an entitlement for HOME funds only, and these funds cannot be used for public housing initiatives. We will continue to work with our partner’s in public housing to assist in any other ways necessary to provide quality housing.

## Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

Program Year 5 CAPER Barriers to Affordable Housing response:

There are no perceived barriers to affordable housing within the North Country HOME Consortium.

## HOME/ American Dream Down Payment Initiative (ADDI)

1. Assessment of Relationship of HOME Funds to Goals and Objectives
  - a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.
2. HOME Match Report
  - a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.
3. HOME MBE and WBE Report
  - a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).
4. Assessments
  - a. Detail results of on-site inspections of rental housing.
  - b. Describe the HOME jurisdiction's affirmative marketing actions.
  - c. Describe outreach to minority and women owned businesses.

Program Year 5 CAPER HOME/ADDI response:

Actual Use of 2009-2010 HOME Funds by Project Type				
Activity	Objective	Total Home \$	# Units Proposed	# Units Completed
Owner-Rehab	Decent Housing	\$486,562	18	41
Rental Rehab	Decent Housing	\$230,000	62	35
Direct Homeownership Assistance	Decent Housing	\$281,044	9	16
ADDI	Decent Housing	\$0	0	0
Administration	N/A	\$51,119	-0-	-0-
Total	100%	\$1,048,725	89	92

4b) Affirmative marketing practices are required by contract with all HOME awardees within the Consortium. Procedures outlined by HUD staff that is explained in greater detail in our Annual Action Plan continue to be practiced. Given that these were deemed appropriate, no changes have been instituted.

4c) The Consortium encourages all HOME awardees to use women- and minority-owned contractors where applicable on local HOME-assisted projects. All

municipalities and housing agencies are given a copy of women- and minority-owned contractors in the three county region. Annually, the Consortium mails a direct solicitation to all women- and minority-owned construction contractors in the three county region. This solicitation explains what the HOME Investment Partnership Program is, how it is operated locally, and the typical types of housing activities undertaken. Further, the contractors are requested to reply in writing if they would like to be placed on the Consortium's women and minority contractor's list. Over and above these activities, most of the housing agencies involved in the local HOME program have many years of experience with local contractors and include women and minority contractors they have good experience with on their own preferred listings.

## HOMELESS

### Homeless Needs

\*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Identify actions taken to address needs of homeless persons.
2. Identify actions to help homeless persons make the transition to permanent housing and independent living.
3. Identify new Federal resources obtained from Homeless SuperNOFA.

Program Year 5 CAPER Homeless Needs response:

Jefferson, Lewis & St Lawrence Counties which makes up the North Country HOME Consortium Service area works very closely with the Points North Housing Coalition. (PNHC) PNHC is comprised of over 40 health and human service agencies that was created to work on programming for Homeless and Homeless related services. Consortium administrative staff (Matt Taylor - DANC & Jennifer Voss- Jefferson County Planning) is members of the PNHC Advisory Committee. The PNHC meets on a quarterly basis which Consortium staff attends. PNHC works with the appropriate health and human service agencies on identifying the homeless needs in the 3 County region and securing funding for programs and services. Although the Consortium funds do not directly assist with homeless housing needs, the Consortium is knowledgeable of the need, participates in the planning of programs and services and supports the PNHC efforts. The other health and human service agencies secures other than HOME funding as identified below which is delivered by agencies (i.e Jefferson County DSS, Lewis County DSS, etc)

### JCDSS Homeless Funds/Programs

#### Shelter Plus Care Grants:

4 Grants – 2006 – 2012 –totaling \$ 1,185,720

I have received information from the Jefferson County Department of Social Services that there currently are 61 households in the Shelter Plus care program as follows:

Singles Chronic Grant	4
Singles Regular Grant	22
Family Chronic Grant	2
Family Regular –	33 of which 2 are also chronic.

These funds assist individuals and families that are homeless where there is a diagnosis of mental illness and/or substance abuse to live in subsidized tenant selected apartments and receive case management services.

Temporary Assistance Shelter Allowance Supplement for single adults and childless couples: funded with state & local funds.

This waiver was effective 9/1/09 to provide a supplement for rent to single individuals and childless couples. This group is the most at risk of homelessness and the least able to afford the rents available in the community. Under this program if the household is eligible for Temporary Assistance without the supplement we can provide additional funding toward shelter up to a specific limit. Also that as of June 30, 2010 there were 129 people up from 52 last year that needed assistance and were served through this program for single adults and childless couples who were homeless or at risk of being homeless.

HEARTH PROGRAM (Helping Eradicate All Roads To Homelessness), (HPRP FUNDS) federal stimulus money allocated to States to offer programs that would eliminate or prevent homelessness. Jefferson County DSS in partnership with St Lawrence County DSS and Lewis County DSS applied for this funding through an RFP. The TRI County RFP was awarded \$1,976,682; Jefferson County DSS' share of the grant is \$809,924 to be dispersed over a 3 year period. This program started in 12/2009. HEARTH will provide limited, short-term assistance to prevent homelessness, divert homelessness and rapidly re-house the homeless.

In 2010, 595 households were placed in emergency shelter due to homelessness. Fortunately with these new initiatives that are assisting these households to lessen their episodes of homelessness or prevent them all together there are only 2 households currently in emergency shelter. Updated information on this effort was not available at the time of this submission.

It seems clear that based on the changes in the marketplace and as the numbers show there is a growing need for additional resources to serve this population.

## **Specific Homeless Prevention Elements**

1. Identify actions taken to prevent homelessness.

Program Year 5 CAPER Specific Housing Prevention Elements response: Jefferson, Lewis & St Lawrence Counties which makes up the North Country HOME Consortium Service area works very closely with the Points North Housing Coalition. (PNHC) PNHC is comprised of over 40 health and human service agencies that was created to work on programming for Homeless and Homeless related services. Consortium administrative staff (Matt Taylor - DANC & Jennifer Voss- Jefferson County Planning) is members of the PNHC Advisory Committee. The PNHC meets on a quarterly basis which Consortium staff attends. PNHC works with the appropriate health and human service agencies on identifying the homeless needs in the 3 County region and securing funding for programs and services. Although the Consortium funds do not directly assist with homeless housing needs, the Consortium is knowledgeable of the need, participates in the planning of programs and services and supports the PNHC efforts. The other health and human service agencies secures other than HOME funding as identified below which is delivered by agencies (i.e Jefferson County DSS, Lewis County DSS, etc)

## Emergency Shelter Grants (ESG)

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).
2. Assessment of Relationship of ESG Funds to Goals and Objectives
  - a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.
  - b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.
3. Matching Resources
  - a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.
4. State Method of Distribution
  - a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.
5. Activity and Beneficiary Data
  - a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.
  - b. Homeless Discharge Coordination
    - i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.
  - c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

Program Year 5 CAPER ESG response:

See above

## COMMUNITY DEVELOPMENT

### Community Development

\*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives
  - a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

- b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.
  - c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.
2. Changes in Program Objectives
  - a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.
3. Assessment of Efforts in Carrying Out Planned Actions
  - a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
  - b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.
  - c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.
4. For Funds Not Used for National Objectives
  - a. Indicate how use of CDBG funds did not meet national objectives.
  - b. Indicate how did not comply with overall benefit certification.
5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property
  - a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.
  - b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.
  - c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.
6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
  - a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.
  - b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.
  - c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.
7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit
  - a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.
8. Program income received
  - a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.
  - b. Detail the amount repaid on each float-funded activity.

- c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.
  - d. Detail the amount of income received from the sale of property by parcel.
9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:
- a. The activity name and number as shown in IDIS;
  - b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
  - c. The amount returned to line-of-credit or program account; and
  - d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.
10. Loans and other receivables
- a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.
  - b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.
  - c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
  - d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
  - e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.
11. Lump sum agreements
- a. Provide the name of the financial institution.
  - b. Provide the date the funds were deposited.
  - c. Provide the date the use of funds commenced.
  - d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.
12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year
- a. Identify the type of program and number of projects/units completed for each program.
  - b. Provide the total CDBG funds involved in the program.
  - c. Detail other public and private funds involved in the project.
13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies
- a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

Program Year 5 CAPER Community Development response:

Not Applicable

## **Antipoverty Strategy**

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

Program Year 5 CAPER Antipoverty Strategy response:

The consortium has 15.23% of its population below the poverty level. Through the three Departments of Social Service, the Section 8 Administrators and Family Self-Sufficiency Programs, and the local job training programs, the Consortium continues the concerted efforts of these agencies to assist residents overcome poverty and attain economic independence.

The North Country Alliance is a multi-county group that includes the three Consortium counties, and its mission is to locate industry and create job opportunities in the area. Development Authority of the North Country plays a major role in this endeavor. Through its critical participation in the Consortium and in its capacity with the North Country Alliance, the Development Authority of the North Country can assist in the anti-poverty philosophy of the Consortium. It must be remembered, that by providing affordable housing alternatives to those in poverty, less of their income is required for housing expenses making it possible to enhance their living environment. Once housing costs are stabilized, there is the potential to reduce poverty.

## **NON-HOMELESS SPECIAL NEEDS**

### **Non-homeless Special Needs**

\*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

Program Year 5 CAPER Non-homeless Special Needs response:

The Consortium is an entitlement for HOME funds only, but will continue to work closely with public and private service organizations that provide assistance to these populations.

### **Specific HOPWA Objectives**

\*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives  
Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
  - a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;

- b. That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
  - c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
  - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
  - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
  - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.
2. This should be accomplished by providing an executive summary (1-5 pages) that includes:
- a. Grantee Narrative
    - i. Grantee and Community Overview
      - (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
      - (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
      - (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS
      - (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
      - (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
      - (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.
    - ii. Project Accomplishment Overview
      - (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences
      - (2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds
      - (3) A brief description of any unique supportive service or other service delivery models or efforts
      - (4) Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.

- iii. Barriers or Trends Overview
  - (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement
  - (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and
  - (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years
- b. Accomplishment Data
  - i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).
  - ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

Program Year 5 CAPER Specific HOPWA Objectives response:  
Not Applicable

## OTHER NARRATIVE

Include any CAPER information that was not covered by narratives in any other section.

Program Year 5 CAPER Other Narrative response:

The following Appendix A is the list of municipalities that opted into the Consortium and are eligible for HOME funds in program year 2010.

**Appendix A  
Participating Municipalities (2009-2011)**

Jefferson County

Towns	Village
Adams	Adams
Alexandria	Alexandria Bay
Antwerp	Antwerp
Brownville	Black River
Cape Vincent	Brownville
Champion	Cape Vincent
Clayton	Carthage
Ellisburg	
Henderson	Clayton
Hounsfield	Deferiet
LeRay	Dexter
Lyme	
Orleans	Evans Mills
Orleans	Glen Park
Pamelia	Herrings
Philadelphia	Mannsville
Rodman	Theresa
Rutland	Sackets Harbor
Theresa	Philadelphia
Watertown	West Carthage
Wilna	City of Watertown
Worth	

Lewis County

Town	Village
Croghan	Castorland
Denmark	Constableville
Diana	Copenhagen
Greig	Croghan
Harrisburg	Lowville
Lewis	Lyons Falls
Leyden	Port Leyden
Lowville	Turin
Lyonsdale	Harrisville
Martinsburg	
Montague	
New Bremen	
Osceola	
Pinckney	
Turin	

Watson  
West Turin

St. Lawrence County

Towns	Village
Brasher	Canton
Canton	Edwards
Hammond	
Clifton	Hermon
Colton	Heuvelton
DeKalb	
DePeyster	
Edwards	Norwood
Fine	Potsdam
Rensselaer Falls	
Hammond	Richville
Hermon	Waddington

Lawrence  
Lisbon  
Louisville  
Macomb  
Madrid  
Massena  
Morristown  
Norfolk  
Oswegatchie  
Parishville  
Piercefield  
Pierrepont  
Pitcairn  
Potsdam

Russell  
Stockholm  
Waddington

Municipalities  
not participating: 2009-2011

Town of Lorraine  
Village of Chaumont  
Village of Ellisburg  
Town of Fowler  
Town of Gouverneur  
Town of Hopkinton  
Town of Rossie  
Village of Gouverneur  
Village of Massena  
Village of Morristown  
City of Ogdensburg

**Appendix B**  
**North Country HOME Consortium Advisory Board**

Association for Neighborhood Rehabilitation (St. Lawrence)  
Catholic Charities (Multi-County)  
Community Action Planning Council (Jefferson)  
Jefferson County Women's Center (Jefferson)  
Jefferson County Office for the Aging (Jefferson)  
Lewis County Planning Office (Lewis)  
City of Watertown, Planning & Community Development (Jefferson)  
City of Ogdensburg, Planning & Community Development (St. Lawrence)  
Avalon Associates (Private)  
Citec (Private)  
Clayton Improvement Association (Jefferson)  
Frontier Housing Company (Jefferson)  
Jefferson County Department of Social Services (Jefferson)  
Jefferson County Planning (Jefferson)  
Lewis County Department of Social Services (Lewis)  
Lewis County Opportunities, Inc. (Jefferson/Lewis)  
Mohawk Indian Housing Corporation (St. Lawrence)  
Neighbors of Watertown (Jefferson)  
North Country Affordable Housing, Inc. (Jefferson)  
Village of Potsdam, Planning and Development Office (St. Lawrence)  
St. Lawrence County Community Development Program (St. Lawrence)  
St. Lawrence County Department of Social Services (St. Lawrence)  
Watertown Housing Authority (Jefferson)  
Watertown Urban Mission (Jefferson)  
Northern New York Builder's Exchange Inc. (Multi-County)  
Snow Belt Housing Company (Lewis)  
St. Lawrence County Department of Planning (St. Lawrence)  
St. Lawrence County Housing Council (St. Lawrence)  
Village of Massena (St. Lawrence)  
Watertown Redevelopment Company (Jefferson)  
Christopher Community (Jefferson)  
Points North Housing Coalition (Multi-County)

## Appendix C Public Notice

### PUBLIC NOTICE

The North Country HOME Consortium will make available for public comment its Annual HOME Performance Report for the 2009-2010 Program Year which runs from July 1, 2009 through June 30, 2010 at the following locations:

- Lewis County Planning Office, County Courthouse, Lowville
- Jefferson County Planning Office, County Office Building, Watertown
- St. Lawrence County Planning Office, County Courthouse, Canton
- Development Authority of the North Country, Dulles State Office Building, Watertown

The document includes a summary of the past year's activities, as well as goals achieved. Also included are the types of housing activities undertaken, and the types of families by income level benefited under the Program. The comment period will begin Tuesday, September 14, 2010, and run through Tuesday, September 28, 2010.

AFFIDAVIT OF PUBLICATION

STATE OF NEW YORK  
COUNTY OF JEFFERSON

WATERTOWN DAILY TIMES

SOLID WASTE MANAGEMENT FACILIT  
DEVELOPMENT AUTHORITY OF NC  
23400 NYS ROUTE 177  
RODMAN NY 13682

REFERENCE: 21320  
20197749 PUBLIC NOTICE The N

Summer Justus of the City of Watertown, Jefferson County, being duly sworn, says that she is the Legal Representative of the Johnson Newspaper Corp., a corporation duly organized and existing under the laws of the State of New York, and having its principal place of business in the City of Watertown, New York, and that said corporation is the publisher of the WATERTOWN DAILY TIMES, a Newspaper published in the City of Watertown, Jefferson County, and State of New York, and that a Notice, of which the annexed is a printed copy, has been published regularly in the said Newspaper.

  
Summer Justus, LEGAL REPRESENTATIVE

PUBLISHED ON: 09/14

AD SPACE: 36 LINE  
FILED ON: 09/14/10

PUBLIC NOTICE

The North County HOME Consortium will make available for public comment its Annual HOME Performance Report for the 2009-2010 Program Year which runs from July 1, 2009 through June 30, 2010 at the following locations:

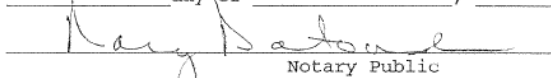
- Lewis County Planning Office, County Courthouse, Lowville
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The document includes a summary of the past year's activities, as well as goals achieved. Also included are the types of housing activities undertaken, and the types of families by income level benefited under the Program. The comment period will begin Tuesday, September 14, 2010, and run through Tuesday, September 28, 2010.

Sworn to before me this

17th day of September, 2010

  
Notary Public

NANCY DATOUSH  
Notary Public in the State of New York  
Qualified in Jefferson Co. No. 5006647  
My Commission Expires Jan. 4, 2011

**Appendix D  
PR 23**

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
PR23 (5 of 7) - Home Disbursements and Unit Completions

DATE: 9/7/2010  
TIME: 11:14:27 am  
PAGE: 1/1

Activity Type	Disbursed Amount	Units	
		Completed	Occupied
Rentals	\$230,000.00	37	35
First Time Homebuyers	\$284,444.27	16	16
Existing Homeowners	\$498,681.82	41	41
Total, Rentals and TBRA	\$230,000.00	37	35
Total, Homebuyers and Homeowners	\$783,126.09	57	57
Grand Total	\$1,013,126.09	94	92

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
PR23 (6 A of 7) - Home Unit Completions by Percent of Area Median Income

DATE: 9/7/2010  
TIME: 11:13:31 am  
PAGE: 1/1

Activity Type	Units Completed							
	0%	31%	51%	61%	Total	Total	0%	0%
	30%	60%	60%	80%	60%	80%		
Rentals	30	4	1	0	35	35		
First Time Homebuyers	2	4	2	8	8	16		
Existing Homeowners	12	19	2	8	33	41		
Total, Rentals and TBRA	30	4	1	0	35	35		
Total, Homebuyers and Homeowners	14	23	4	16	41	57		
Grand Total	44	27	5	16	76	92		

Jurisdiction

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR23 (7 of 7) - Home Unit Completions by Racial / Ethnic Category

DATE: 9/7/2010  
 TIME: 11:19:31 am  
 PAGE: 1/2

	Rentals		First Time Homebuyers		Existing Homeowners	
	Units Completed	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics
White	34	0	16	0	41	0
Black/African American	1	0	0	0	0	0
<b>Total</b>	<b>35</b>	<b>0</b>	<b>16</b>	<b>0</b>	<b>41</b>	<b>0</b>

IDIS

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
 OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
 PR23 (7 of 7) - Home Unit Completions by Racial / Ethnic Category

DATE: 9/7/2010  
 TIME: 11:19:31 am  
 PAGE: 2/2

	Total, Rentals and TBRA		Total, Homebuyers and Homeowners		Grand Total	
	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics	Units Completed	Units Completed - Hispanics	Units Completed - Hispanics
White		34	0	57	0	91
Black/African American		1	0	0	0	1
<b>Total</b>		<b>35</b>	<b>0</b>	<b>57</b>	<b>0</b>	<b>92</b>



**Annual Performance Report  
HOME Program**

**U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development**

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	Starting	Ending	
	07/01/2009	06/30/2010	09/29/2010

**Part I Participant Identification**

1. Participant Number DC360512	2. Participant Name North Country HOME Consortium		
3. Name of Person completing this report Don Canfield		4. Phone Number (Include Area Code) 315/785-3144	
5. Address 175 Arsenal Street	6. City Watertown	7. State New York	8. Zip Code 13601

**Part II Program Income**

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
N/A	N/A	N/A	N/A	N/A

**Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)**

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
<b>A. Contracts</b>					
1. Number	92				92
2. Dollar Amount	\$1,013,126				\$1,013,126
<b>B. Sub-Contracts</b>					
1. Number					
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
<b>C. Contracts</b>					
1. Number	92		\$1,013,126		
2. Dollar Amount					
<b>D. Sub-Contracts</b>					
1. Number					
2. Dollar Amounts					

## Jurisdiction

### Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

### Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired		
2. Businesses Displaced		
3. Nonprofit Organizations Displaced		
4. Households Temporarily Relocated, not Displaced		

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired		
2. Businesses Displaced		
3. Nonprofit Organizations Displaced		
4. Households Temporarily Relocated, not Displaced		

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

**Annual Performance Report  
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(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting	Ending	09/29/2010
	07/01/2009	06/30/2010	

**Part I Participant Identification**

1. Participant Number DC360512	2. Participant Name North Country HOME Consortium		
3. Name of Person completing this report Don Canfield		4. Phone Number (Include Area Code) 315/785-3144	
5. Address 175 Arsenal Street	6. City Watertown	7. State New York	8. Zip Code 13601

**Part II Program Income**

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
N/A	N/A	N/A	N/A	N/A

**Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)**

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
<b>A. Contracts</b>						
1. Number	92					92
2. Dollar Amount	\$1,013,126					\$1,013,126
<b>B. Sub-Contracts</b>						
1. Number						
2. Dollar Amount						
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
<b>C. Contracts</b>						
1. Number	92		\$1,013,126			
2. Dollar Amount						
<b>D. Sub-Contracts</b>						
1. Number						
2. Dollar Amounts						

APPENDIX F  
NCHC HOME Program Project Locations

