

Executive Summary

AP-05 Executive Summary - 91.200(c), 91.220(b)

1. Introduction

Federal, state and local governments each have roles in the development of housing opportunities. The Consortium is an entitlement for HOME funds only. The Consortium is comprised of 104 of 112 municipalities in Jefferson, Lewis and St. Lawrence Counties, NY. Jefferson County is the lead county. Jefferson County has entered into a Sub recipient Agreement with the Development Authority of the North Country to administer and deliver the program.

In order to address housing issues throughout the three-county region there are a number of Rural Preservation Companies (RPC's), Neighborhood Preservation Companies (NPC's) as well as municipal housing offices and the Development Authority of the North Country that provide program administration and program delivery services. The Points North Housing Coalition, the Continuum of Care, in the region applies for federal funding to combat homelessness in the region. The City of Watertown is the only entitlement community in the region and receives a CDBG allocation only. There are several community-based, social service agencies, as well as government sponsored agencies like Departments of Social Services, County Mental Health, Public Health and Aging Departments, etc., that provide crucial support services to many of the populations served by housing programs. These agencies receive a variety of local, federal and state funding.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The objective identified in this Plan is to continue to provide the available HOME resources to assist low and moderate-income households in the Participating Jurisdiction with affordable housing assistance. The Primary Priority is for owner occupied rehabilitation programs, with Secondary Priorities given to programs that assist low and moderate households to purchase a home as well as providing HOME assistance to existing rental projects that need updates or improvements.

Since 1994 the Consortium has provided assistance to 1914 households, of which 1044 with owner occupied rehabilitation assistance, 522 units of rental rehabilitation assistance and also assisted 348 households purchase a home.

The HOME Program will use funds for a variety of activities across Jefferson, Lewis & St. Lawrence Counties to expand the supply of decent, safe, and affordable housing for low-income families. The Program will offer homebuyers, homeowners, and renters the opportunity to rehabilitate, purchase or rent single or multi-family housing.

This year's plan will continue to utilize the long standing objectives noted above and furthering the goals of providing affordable housing assistance to the many in need in our region. The Consortium proposes to assist 23 eligible households; 15 owner occupied households, with housing rehabilitation assistance and 8 households to purchase their first home via a homebuyer program. Of these 23 household's to be assisted, 4 will be through a CHDO HB Program.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The North Country HOME Consortium's Administrative Board has identified Owner Occupied Rehab as the HOME funding priority option, with homebuyer assistance and the rehabilitation of existing rental properties also as priorities, but behind owner occupied rehab projects. With these targeted goals, the Consortium has been able to effectively commit and disburse program funds over the history of the program.

CHDO Projects (Homebuyer or Rental Rehab of CHDO owned or acquired projects) are also a primary priority. 15% of the annual allocation has to be reserved for CHDO projects; this year a CHDO HB application was received during this application cycle, and the plan is to fund this CHDO HB Program for \$ 146,858.50. (More than the required 15%)

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

In accordance with Federal regulations (24 CFR Part 570.431), the North Country HOME Consortium utilizes the following Citizen Participation process to ensure that residents have ample opportunity to review and comment on the North Country HOME Consortium Program, the planned programs meeting community needs identified in each year's Annual Plans as well ability to comment on the annual HOME funding rounds and applications, and the Consortium's Consolidated Plan.

The Consortium is publishing the public notice for the public comment period on April 26, 2020 in the regional newspaper. The public comment period will run from April 27-May 27, 2020. Public Comments can be directed during the public comment period to : Development Authority of the North Country, Attn. Matt Taylor, 317 Washington Street, Watertown, New York 13601 or by phone at 315-661-3200 or via email to mtaylor@danc.org In addition, a virtual public hearing/meeting on proposed Action Plan

items is scheduled for May 6, 2020 at 9AM and will be held via Zoom Meeting. Call In # is 1-646-876-9923, with the meeting ID # 99515596556 To participate via computer click <https://zoom.us/j/99515596556>

Whenever North Country HOME Consortium matters are planned for discussion at local meetings and hearings of publicly appointed bodies, timely and reasonable notice and access will be provided. This will be accomplished through local media notification and posting of meeting and hearing notices. Furthermore, records and information relating to North Country HOME Consortium plans and activities are available to the public (as may be limited by confidential individual income statistics and New York State Freedom of Information Laws).

The three Counties, through their respective Planning Departments, will provide technical assistance to groups representing low to moderate-income individuals in developing supporting or alternative proposals for HOME programming. This assistance will be considered, if requested, and if staff resources are available. The level and type of assistance available will be at the Consortium's discretion. Availability of such technical assistance shall be regularly evaluated and documented at Consortium meetings.

The Consortium will hold two virtual annual public hearings via Zoom Meeting at different stages of the annual HOME process. Exact scheduling will be dependent on HOME funding cycles. One hearing will address the Consortium's housing needs, development of proposed activities included in the Annual Plan (Annual Plan Meeting). Another hearing will address the Consortium's annual Performance Assessment Report (CAPER Meeting) . All public hearings will be properly noticed and held. This is appropriate since all Consortium low to moderate-income residents are potential beneficiaries under North Country HOME Consortium programs. Materials will be provided, if requested, in formats suitable for the visually and hearing impaired.

Accommodations will be made available at public hearings to meet the needs of non-English speaking residents, though participation of such residents is not expected given the low percentage of non-English speaking residents within the Consortium.

All written citizen complaints regarding the North Country HOME Consortium's programs will be responded to within 15 working days of receiving such complaints.

To encourage participation by low and moderate-income residents, minorities, and persons with disabilities, notices of hearings and HOME planning sessions will be provided to the non-profit housing agencies, human service organizations, the Department of Social Services in each of the three participating Counties, and members of the Continuum of Care. These agencies are representative of groups that directly assist to the Consortium's low and moderate-income population, as well as minority populations and persons with disabilities.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

To be completed after the Public Comment Period has convened.

6. Summary of comments or views not accepted and the reasons for not accepting them

Not applicable.

7. Summary

PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
HOME Administrator	JEFFERSON COUNTY	Jefferson County Planning Department

Table 1 – Responsible Agencies

Narrative

The lead agency for the preparation of the Annual Action Plan is the Jefferson County Planning Department. The Development Authority of the North Country, as sub recipient, assists the county with preparation of the Annual Action Plan.

Consolidated Plan Public Contact Information

County Representative: Michael Bourcy, Jefferson County Department of Planning Director, County Office Building, 175 Arsenal Street, Watertown, NY 13601. Email is mbourcy@co.jefferson.ny.us. Phone is 315-785-3144.

Subrecipient Representative: Matthew Taylor, Development Authority of the North Country, 317 Washington Street, Watertown, NY 13601. Email is mtaylor@danc.org. Phone is 315-661-3200.

AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

The North Country HOME Consortium works primarily with the affordable housing providers in the three county region. It also has an Advisory Committee, which meets periodically to discuss program priorities and services. The Advisory Committee is comprised of representatives from the region's county government, municipal housing authorities, social service agencies and many others to provide input into the HOME funded programs and services. An Advisory Committee is planned for the 2020 program year. Staff from the Development Authority and Jefferson County also participate on the Points North Housing Coalition, the 3 County Continuum of Care. The Consortium consults with the City of Watertown, as it is an entitlement for CDBG funding.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The staff that works on the administration of the Consortium is also active with the Continuum of Care for the same 3 county region. The CoC is the Points North Housing Coalition. Consortium staff works closely with members of the Coalition on the homeless housing efforts in the tri-county region. Staff members attend quarterly meetings and are committee members, which reinforce the stake in working together to meet housing needs across the Board.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

As mentioned above, administrative staff of the Consortium is a member of the CoC. In regards to allocation of ESG funds and other CoC materials, that is under the jurisdiction of the CoC and its member agencies that specialize in that area.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Points North Housing Coalition
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Consultation addressed plans and goals for 2020.
2	Agency/Group/Organization	City of Watertown
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Consulted on the City's Annual Plan for their CDBG Entitlement Program. Coordinated with the City on the submission of their plan with the Consortium.
3	Agency/Group/Organization	JEFFERSON COUNTY
	Agency/Group/Organization Type	Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Lead for the North Country HOME Consortium. Maintains financial records for the Consortium.

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Points North Housing Coalition	Goals of the Continuum of Care are incorporated into the Consortium's consolidated plan.

Table 3 – Other local / regional / federal planning efforts

Narrative

In addition to the outreach detailed in the tables above, the North Country HOME Consortium has consulted with community partners on the housing programs and services that it has been providing working with the local housing agencies since 1994 in a number of ways. The partnerships with the Jefferson, Lewis & St. Lawrence County Planning Offices, the HOME Administrative Board, the Advisory Committee forum as well as the Continuum of Care includes members and stakeholders of the 3 Counties include: Jefferson County DSS, Lewis County DSS, St. Lawrence County DSS, Samaritan Medical Center, Canton Potsdam Hospital, Children’s Home of NNY, Jefferson County Department of Planning, Mental Health Association, Watertown Housing Authority, Watertown Urban Mission, Watertown Red Cross, Fort Drum Regional Health Planning, Lewis County Opportunities, Credo Community Center, Veteran Affairs, Soldier On, NNY Children’s Home, ACR Health, Massena Independent Living Center, Transitional Living Services, Jeff – Lewis BOCES, Community Actin Planning Organization, Neighbors of Watertown and Snow Belt Housing Company.

AP-12 Participation - 91.401, 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

In accordance with Federal regulations (24 CFR Part 570.431), the North Country HOME Consortium utilizes the following Citizen Participation process to ensure that residents have ample opportunity to review and comment on the North Country HOME Consortium Program, the annual HOME funding rounds and applications, and the Consolidated Plan.

The Consortium began the public comment period for its draft 2020 Annual Plan on April 27 and will conclude it on May 27, 2019. Public Comments can be directed to Matt Taylor at mtaylor@danc.org or by phone at 315-661-3200. The Consortium will also hold a Zoom Public Hearing/Meeting to review the Plan programs proposed to meet Community Needs on May 6, 2020 at 9:00 am.

The annual plan will be available for review at the three Counties, through their respective Planning Departments. The Planning Departments will provide technical assistance to groups representing low to moderate-income individuals in developing supporting or alternative proposals for HOME programming. This assistance will be considered if requested and if staff resources are available. The level and type of assistance available will be at the Consortium's discretion. Availability of such technical assistance shall be regularly evaluated and documented at Consortium meetings. The Plan was also made available through all partner agencies in the Continuum of Care group.

Accommodations will be made available at public hearings to meet the needs of non-English speaking residents, though participation of such residents is not expected given the low percentage of non-English speaking residents within the Consortium.

All written citizen complaints regarding the North Country HOME Consortium's programs will be responded to within 15 working days of receiving such complaints.

To encourage participation by low and moderate-income residents, minorities, and persons with disabilities, notices of hearings and HOME planning sessions will be provided to the non-profit housing agencies, human service organizations and the Department of Social Services in each of the three participating Counties. These agencies are representative of groups that directly provide assistance to the Consortium's low and moderate-income population, as well as minority populations and persons with disabilities.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Email	<p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Local public and community service agencies in CoC.</p>	<p>An email was sent to the Points North Housing Council, which is the local COC that serves the same 3 County service area, as the HOME program with info about the planned HOME housing programs planned for the upcoming HOME program year.</p>			

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Internet Outreach	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>The Development Authority has information on its website regarding the HOME program available to the public at large regarding HOME program and services. Periodically we receive inquiries about the programs and services and work with the people that inquire on explaining the programs available as well as how to pursue assistance through the local housing agencies that run the programs.</p>	N/A	N/A	www.dancc.org

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

Introduction

Federal, state and local governments each have roles in the development of housing opportunities. The Consortium is an entitlement for HOME funds only. The Consortium also has a 'bank' of match that it utilizes to administer its HOME program. Much of this match is from programs working along with the New York State Affordable Housing Program funding.

New York State is an active participant in the development of publicly assisted housing through direct and indirect financing. The New York State Homes and Community Renewal (HCR) manage numerous programs involving rental assistance, homeownership assistance, rehabilitation and construction. HCR also manages the state's allocation of CDBG, HOME and Low Income Housing Tax Credit allocations. Housing providers throughout the jurisdiction utilize these. The State of New York Mortgage Agency (SONYMA), through the state's Housing Finance Agency, also guarantees housing loans. These agencies will be used as primary funding sources to implement the Consolidated Plan and to provide assistance and support to the consortium.

The private financial institutions also play an important part in the consortium's activities as they have the financial capacity to provide interim and construction financing when necessary. Financial institutions offer programs for First-time Homebuyers through the Federal Home Loan Bank to provide an incentive through down payment assistance for low and moderate-income households to purchase homes.

The Points North Housing Coalition is the Continuum of Care in the region and applies for federal funding to combat homelessness in the region. The City of Watertown is the only entitlement community in the region and receives a CDBG allocation only. There are several community-based, social service agencies, as well as government sponsored agencies like Departments of Social Services, County Mental Health, Public Health and Aging Departments, etc., that provide crucial support services to many of the populations served by housing programs. These

agencies receive a variety of local, federal and state funding.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	Public - federal	Homebuyer Assistance Homeowner Rehab Rental Rehab						Federal HOME funds only
		TBRA	818,635	0	0	818,635		

Table 2 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The Consortium is an entitlement for HOME funds only. The Consortium has a ‘bank’ of match that has accumulated over 26 years. The primary source of these funds is from the New York State Affordable Housing Corporation. The Consortium continues to work with its partners to identify and utilize sources of eligible match.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Not applicable

Discussion

The Consortium is an entitlement for HOME funds only. The Consortium has accumulated a 'bank' of match primarily through matching funding from other New York State affordable housing programs. The Consortium continues to work with its partners to identify and utilize sources of eligible match.

With the recent rules change regarding Program Income (PI) – whereby the repayments of recaptured funds will be deposited into a PJ HOME Investment Trust Fund Local Account, our PJ will be looking to either

1. Commit local account funds earned in the current program year to existing projects identified in the current annual action plan;
2. Accumulate the funds it receives during the current program year and include the amount in the following year's action plan; or
3. A combination of both 1 & 2

The PI funds will only be used on eligible HOME activities, some of which include training for new PJ and or Housing Agency program staff, as well potentially funding the PJ's pending Affirmative Fair Housing Plan, if required.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Affordable Housing Rehabilitation	2020	2022	Affordable Housing	Participating Jurisdictions in Jefferson County	Increasing supply of safe, decent & affordable housing	HOME: \$450,000	Homeowner Housing Rehabilitated: 15 Household Housing Unit
2	Homeownership opportunities	2020	2022	Affordable Housing	Participating Jurisdictions in St. Lawrence County	Homeownership opportunities	HOME: \$342,176	Direct Financial Assistance to Homebuyers: 8 Households Assisted

Table 3 – Goals Summary

Goal Descriptions

1	Goal Name	Affordable Housing Rehabilitation
	Goal Description	The Consortium has been operating for 26 years with a goal of providing decent and affordable housing for extremely low, low and moderate-income households with housing rehabilitation assistance.
2	Goal Name	Homeownership opportunities – Homebuyer Assistance
	Goal Description	The Goal of Consortium HB Program/s is to provide homebuyer training and financial assistance to income eligible households to purchase an existing home in Jefferson, Lewis & St. Lawrence Counties.

AP-35 Projects - 91.420, 91.220(d)

Introduction

2020 HOME Funds will be used to fund 4 housing programs as well as the administration dollars to support each program and the Consortium as a whole.

1. Snow Belt Housing to assist 7 units of owner-occupied rehabilitation in Lewis County.
2. North Country Affordable Housing to assist 8 units of owner-occupied rehab in Jefferson County.
3. Clayton Improvement Association to assist 4 households purchase a home via a HB program in Jefferson County.
4. Neighbors of Watertown to assist 4 households with CHDO HB program in the City of Watertown, Jefferson County.
5. Administrative Dollars for the program recipients as well as the Development Authority and Jefferson County to manage and oversee the federal program/s.

#	Project Name
1	2020 Snow Belt OOR
2	2020 North Country Affordable Housing - OOR
3	2020 Clayton Improvement Association, LTD - HB
4	2020 Neighbors of Watertown – CHDO HB
5	2020 Administration

Table 4 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The North Country HOME Consortium's Administrative Board has identified Owner Occupied Rehab as the HOME Program's funding priority, with homebuyer assistance and the rehabilitation of existing rental properties also as a primary priority but behind owner occupied rehab projects if applications received.

CHDO projects are also a primary priority as 15% of the annual allocation has to be reserved for CHDO projects.

AP-38 Project Summary
Project Summary Information

1	Project Name	2020 Snow Belt Hosing OOR
	Target Area	Participating Jurisdictions in Lewis County
	Goals Supported	Affordable Housing Rehabilitation
	Needs Addressed	Increasing supply of safe, decent affordable housing.
	Funding	HOME: \$225,000 - (\$212,264 - Project & \$ 13,141 - Admin)
	Description	HOME funding to assist 7 eligible households with a scattered site, owner occupied rehabilitation program in Lewis County.
	Target Date	12/31/2022
	Estimate the number and type of families that will benefit from the proposed activities	The program will assist approximately 3 units of housing for households up to 60% AMI and 4 units for households up to 80% AMI.
	Location Description	Scattered sites in Lewis County
	Planned Activities	Owner-occupied rehabilitation.
2	Project Name	2020 North Country Affordable Housing OOR
	Target Area	Participating Jurisdictions in Jefferson County
	Goals Supported	Affordable Housing Rehabilitation
	Needs Addressed	Increase supply of safe, decent affordable housing
	Funding	HOME: \$225,000 (\$212,264 – Project & \$ 13,141 – Admin)
	Description	HOME funding to assist 8 eligible households with a scattered site owner occupied rehabilitation in Jefferson County.
	Target Date	12/31/2022
	Estimate the number and type of families that will benefit from the proposed activities	The Program will assist 8 households with incomes at or below 80%, AMI will be assisted with owner occupied rehabilitation assistance.
	Location Description	Scattered sites in Jefferson County.
	Planned Activities	Owner Occupied Rehabilitation
3	Project Name	2020 Clayton Improvement Association - HB
	Target Area	Participating Jurisdictions in Jefferson County

	Goals Supported	Affordable Housing Rehabilitation Homeownership opportunities
	Needs Addressed	Increasing supply of safe, decent affordable housing Homeownership opportunities
	Funding	HOME: \$176,776 (\$166,000 – Project & \$ 10,580 – Admin)
	Description	Home funding to assist 4 income eligible households with financial assistance to purchase a home in Jefferson County.
	Target Date	12/31/2022
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 4 households at or below 80% of the area median will be assisted with purchasing a home.
	Location Description	Scattered sites in Jefferson County
	Planned Activities	Homebuyer Assistance of existing homes
4	Project Name	2020 Neighbors of Watertown CHDO HB
	Target Area	City of Watertown, Jefferson County
	Goals Supported	Homeownership Opportunities
	Needs Addressed	Homeownership Opportunities
	Funding	HOME: \$146,838.50
	Description	HOME funds will assist 4 income eligible households with homebuyer assistance to purchase a newly renovated home through this CHDO HB program.
	Target Date	12/31/2022
	Estimate the number and type of families that will benefit from the proposed activities	4 Low and Moderate Income households (less than 80% AMI) will receive financial assistance to purchase a home.
	Location Description	Scattered Sites, City of Watertown

	Planned Activities	Homebuyer Assistance of existing CHDO acquired and rehabilitated homes.
5	Project Name	2020 Administration
	Target Area	Jefferson, Lewis & St. Lawrence Counties
	Goals Supported	Affordable Housing Rehabilitation Homeownership Opportunities
	Needs Addressed	Affordable Housing Rehabilitation Homeownership Opportunities
	Funding	HOME: \$81,863.50
	Description	Administrative funds available to oversee the HOME Program and implement housing programs. The amount will not exceed 10% of the total award amount.
	Target Date	12/31/2022
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	N/A
	Planned Activities	Administrative funds to oversee the HOME Program by Jefferson County (\$ 10,000.50), as lead agency, and Development Authority of the North Country (\$35,000), as sub recipient, as well as administrative funding to Snow Belt Housing (\$13,141), North Country Affordable Housing (\$13,141) and Clayton Improvement Association, LTD (\$10,580) to implement the housing programs.

AP-50 Geographic Distribution - 91.420, 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

For this HOME funding cycle, the Consortium received 4 applications. Two applications will target owner-occupied rehabilitation (one in Jefferson County and one in Lewis County), and the other 2 applications received were for homebuyer assistance programs; both in Jefferson County.

Geographic Distribution

Target Area	Percentage of Funds
Participating Jurisdictions in Jefferson County	73%
Participating Jurisdictions in Lewis County	27%
Participating Jurisdictions in St. Lawrence County	0%

Table 5 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Funding will be awarded based on a competitive application process, and the Consortium's priority needs.

Discussion

The Consortium has been operating the program in this manner for over 26 years.

Affordable Housing

AP-55 Affordable Housing - 91.420, 91.220(g)

Introduction

The Consortium is proposing to assist 15 income eligible homeowners through a scattered site owner occupied rehabilitation programs in Lewis County by Snow Belt Housing (7 projects) and in Jefferson County by North Country Affordable Housing (8 projects) . The Consortium also proposes to assist 8 income eligible individuals with homebuyer assistance in Jefferson County by HB programs to be offered by Clayton Improvement Association (4 projects) and Neighbors of Watertown (4 projects) (CHDO HB)

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	23
Special-Needs	0
Total	23

Table 6 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	15
Acquisition of Existing Units	8
Total	23

Table 7 - One Year Goals for Affordable Housing by Support Type

Discussion

The Programs that the 2020 HOME funding will be used for or will continue to provide Owner Occupied Rehab and Homebuyer Assistance to eligible Low and Moderate Income families in the Consortium.

AP-60 Public Housing - 91.420, 91.220(h)

Introduction

This entire section is not applicable as the use of HUD HOME housing funds cannot be used on Public Housing Authorities.

Actions planned during the next year to address the needs to public housing

N/A

Actions to encourage public housing residents to become more involved in management and participate in homeownership

N/A

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

N/A

Discussion

N/A

AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)

Introduction

The North Country HOME Consortium collaborates with the Points North Housing Council and its partner agencies to provide services to the homeless and related populations that it serves. The Points North Housing Council is a HUD funded Continuum of Care (CoC) which submits its own Action Plan. Members of the staff from the Development Authority and Jefferson County Planning Office who administer the HOME program are also active members of the PNHC.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The CoC will collaborate with mainstream providers like the Department of Social Services to reach out to homeless persons and assess their individual needs. The CoC coordinates with prevention assistance providers such as the Mental Health Association of Jefferson County and Catholic Charities to determine reasons why households need assistance. The CoC includes working with the prevention assistance providers to utilize ESG funds to divert and prevent homelessness. In order to identify risk factors for becoming homeless for the first-time, communication between providers at CoC meetings are encouraged and scheduled. Active communication between providers is key to prevention.

Addressing the emergency shelter and transitional housing needs of homeless persons

The Consortium will continue to work closely with the CoC and its partner organizations. There are several local agencies that provide emergency shelter and transitional housing needs of homeless persons. The CoC works regularly with DSS and other social agencies to identify these persons and connect them with much needed social services. It is the Consortium's goal that through this connection with the CoC and other social agencies that we will be able to address the emergency shelter and transitional housing needs of homeless persons.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The CoC is working with partnering housing agencies in the tri-county area to establish PSH beds for the chronically homeless (CH). The Watertown Housing Authority does have a homeless housing

preference, however it is not specific to chronically homeless but can be used for chronically homeless on the list. The CoC has funded several projects that have dedicated beds for chronically homeless. These projects include: Transitional Living Services Permanent Supportive Housing CH 2 units in Jefferson County; Jefferson County DSS Permanent Supportive Housing with 9 CH beds; Jefferson County Permanent Supportive Housing (TH) 61 CH beds; Transitional Living Services Permanent Supportive Housing 3 CH beds in St. Lawrence County and 3 CH beds in Lewis County. The CoC continues to reach out to the housing authorities to ask that they dedicate beds to CH. The CoC has noticed that there are not as many CH individuals present since HUD changed the definition. It does however continue to see homeless individuals that need assistance.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The HOME Consortium helps in addressing the area's homeless housing needs by continuing to work with the CoC. Communication is key among homeless housing service providers in the region and many of the service providers have programs that transition people from publicly funded institutions and systems of care to housing in the community. Transitional Living Services provides supportive housing for persons with psychiatric illnesses while CREDO provides supportive housing for persons with alcohol and substance abuses. The Consortium will promote community programs and services to help low-income individuals and families avoid becoming homeless.

Discussion

The Consortium is an entitlement for HOME funds only. It works closely with the Points North Housing Coalition which is the continuum of care in the region. The Consortium focuses its housing resources to assist the very low and low income populations with quality, affordable housing options.

AP-75 Barriers to affordable housing -91.420, 91.220(j)

Introduction

As detailed in the Consolidated Plan, many of the economic and public policy barriers to affordable housing take effect at a regional level. The Consortium has very little power to ameliorate the effects of these issues.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The Consortium's Affordable housing efforts are focused on increasing the availability of quality affordable housing in the 3 County Region.

Discussion

The main barrier to affordable housing identified in the Jefferson County Analysis of Impediments to Fair Housing is the lack of quality affordable housing. Another Barrier that has been identified over time in the 3 Counties' assessments is the lack of highly available public transit. **The Watertown Jefferson County Area Transportation Council (WJCTC)** is the Metropolitan Planning Organization (MPO) designated by the Governor of the State of New York for the City of Watertown and surrounding area in Jefferson County. It has the responsibility of developing and maintaining both a Regional Transportation Plan and a Transportation Improvement Program for the area's federal aid eligible highway and public transit facilities. The WJCTC has hired a consultant and is in the process of conducting a transit study.

In its 2017 Assessment of Fair Housing, St. Lawrence County identified a general lack of Fair Housing knowledge by government officials and landlords and also a lack of access to funds for housing improvements for vulnerable populations.

The Lewis County Analysis of Impediments to Fair Housing Choice 2016 Update also identifies insufficient fair housing and tenant's rights education, fair housing enforcement capacity, and affordable housing choices for renters.

AP-85 Other Actions - 91.420, 91.220(k)

Introduction

HOME funded activities for this program year include the following: assisting 15 income eligible homeowners with owner-occupied rehabilitation and assisting 8 families with homebuyer's assistance and program administration.

Actions planned to address obstacles to meeting underserved needs

The North County HOME Consortium funded Owner Occupied Rehab Programs will help improve the quality of the housing stock in the 3 County region and meet a very small portion of the area's housing needs. But we feel that meeting some needs with the resources that are available and always looking for other and additional resources and funding to assist in the needs area is the primary focus of the North Country HOME Consortium and its partner agencies.

Actions planned to foster and maintain affordable housing

All program efforts utilizing HOME funding is an effort to foster and maintain affordable housing working with its member agencies who are the front line providers of programs and services to those in need. The Programs funded this year are a continued effort to maintain and preserve the housing stock of the 3 County region. The City of Watertown which has the largest pool of Rental Housing is looking at methods to upgrade the rental housing stock in the City. Rental Registration was adopted by the Council in 2017. The City is also working with the Thousand Islands Habitat for Humanity, Development Authority of the North Country, the Watertown Housing Authority and Neighbors of Watertown to complete a housing rehabilitation/acquisition program to assist persons living in poverty to be able to afford homeownership in the City. This program was funded by ESPRI, or the Empire State Poverty Reduction Initiative.

Actions planned to reduce lead-based paint hazards

All projects assisted with HUD HOME funding must follow the Federal Lead Based Paint Rules and HOME Requirements 24 CFR Part 35. Any homes or rental projects built before 1978 must have a Lead Risk Assessment performed by a Licensed Risk Assessing Agency or person and if any Lead Hazards are identified, those hazards must be incorporated into the scope of work and remediated by a certified lead contractor. Lead Clearance must be attained prior to requesting final payment.

Actions planned to reduce the number of poverty-level families

The housing rehab activities may not reduce the number of poverty-level households in our area but will greatly improve the quality of life along with the safety and habitability of the substandard housing that poverty level families may reside in due to the lack of income. The ESPRI funding received by the City of

Watertown, coupled with other federal and NYS funds provides the opportunity for person living in poverty to potentially achieve homeownership through the write-down of mortgages and ESPRI funds to complete necessary renovations.

Actions planned to develop institutional structure

Jefferson County is the lead agency for the North Country HOME Consortium. Policies for the Consortium are established by a nine-member administrative board. This board is comprised of representatives from each of the three county planning offices, and two representatives appointed by their respective county boards from each of the three counties. The Administrative Board also makes awards of HOME funds from the Consortium's competitive application process to eligible communities.

Jefferson County has entered into a sub-recipient agreement with the Development Authority of the North Country to provide staffing for administration of the program. DANC is a three-county public benefit corporation established by New York State legislature in 1985. One of its goals is to further quality housing for affordable populations. The administrative responsibilities of both Jefferson County and DANC are clearly defined in the sub-recipient agreement, dated May 25, 2017.

The North Country HOME Consortium working with the above mentioned structure has been providing resources to housing agencies and municipalities since 1994 that has assisted close to 1891 households with HOME eligible programs and services in the Consortium's 3 County Region.

Actions planned to enhance coordination between public and private housing and social service agencies

In order to elicit comments from the broadest audience regarding the Consolidated Plan, the Consortium collected feedback and comments on an on-going basis through meetings of the Consortium's Advisory Board, Administrative Board and through public hearings. In order to ensure that the process is fair and open to the public, the Consortium meets periodically with its Advisory Board. The Advisory Board is comprised of RPCs, NPCs, municipalities, and front-line public service organizations that work primarily with affordable populations. It is through these relationships that the Consortium has been so successful in delivering HOME funds to eligible communities across the region. Please see the attachment for a list of the HOME Advisory Board Members.

Discussion

The North Country HOME Consortium has been providing HOME programs (Owner Occupied Rehab, Homebuyer Assistance and Rental Rehab) working with the not for profit housing agencies and some municipalities for low to moderate income households since 1994. Since 1994 the Consortium has received over \$31 million dollars in HUD HOME funding and provided assistance to close to 1914 eligible families.

Program Specific Requirements

AP-90 Program Specific Requirements - 91.420, 91.220(l)(1,2,4)

Introduction

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The Consortium will not use HOME funds for any form of investment not listed at 24 CFR 92.205(b) without HUD's prior written approval.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

The Consortium shall require that all properties assisted with HOME funding (Direct Subsidy) for Homebuyer Programs shall be subject to the HUD Approved **Recapture** Provision. Direct Subsidy includes down payment assistance, closing costs or other home assistance provided directly to the homebuyer. This provision includes a declining balance, net proceeds provisions, which is a part of the program materials and the N & M.

Sub Recipients that provide the homebuyer assistance utilize the same Recapture provisions that are enforced through a Note & Mortgage. Key elements of the Consortium & Sub Recipient Recapture provisions are that if the home that was assisted with the HOME funding is sold within its respective affordability period (5, 10 or 15 years – depending on the amount of HOME Assistance) then repayment of the home assistance is required depending on the number of years the household has occupied the home. This is in the Sub Recipients program handbooks, communicated and agreed upon by the applicant at application and ratified at contract signing/s.

The Consortium has a HUD approved Note & Mortgage that is used by all program sub recipients. In the event of a voluntary sale to another non-affordable household (over 80% AMI) the Recapture, Declining Balance, Net Proceeds Model will be used. The Declining Balance for a 5 year affordability period is a simple reduction of 20% per year. The Declining Balance for a 10 year affordability period is a 10% reduction per year. The Declining Balance for a 15-year affordability period is the applicable percentage (approx. 6.6%). **The Net Proceeds of a sale are the sales price minus the**

superior loan repayments (other than the HOME) and any closing costs if the assisted household used their own funding towards closing costs. Also if the obligor used his or her own funds \$ for home improvements of up to \$2,000 (with receipts to support) and or incurs any brokers commissions, legal fee's incurred by seller, and or any transfer taxes or recording fees as part of the transaction those costs may be retained by the assisted household as detailed in the program material as well as in the Rider/s in the HUD Approved Grant Enforcement Note & Mortgage.

Another sale option, which we classify a part of our Recapture Provisions: is the "Assumption Option". If the assisted property is sold to another affordable household and no additional HOME funds are invested the new owner can assume the terms and conditions of the original assisted household, and no repayment of HOME funding is necessary if those requirements are met.

If the Assumption scenario is a consideration, the household that is looking to sell the home should contact the Sub Recipient /housing agency that provided the household with the HOME assistance as well as share with the attorneys who will handle the project closing to work through the required detail/s.

As previously noted, prior to the assistance being given, the HUD approved recapture method shall be specified to the household.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Recipients of HOME Assistance must reside in the HOME assisted unit for the affordability period, per the Grant Agreement as well as the Recorded Note & Mortgage. The Sub Recipients are responsible for ensuring the ongoing compliance using the local methodology. The local methodology is reviewed at the time the Sub Recipient applies for and receives the HOME funding from the Consortium, and is reviewed throughout the affordability period by the PJ during program monitoring.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The Consortium will not use HOME funds to refinance existing debt secured by multifamily housing that has been rehabilitated with Consortium HOME funds.

We expect that all of the 2020 HUD HOME funding for the 2020 Program year will benefit low and moderate income persons.

Attachments

Citizen Participation Comments

TBD

Grantee Unique Appendices

2020 Monitoring Plan & Schedule

Agency	Staff Assigned	Program & Year	Monitoring Date
North Country Affordable Housing	Matt Siver & Mike Bourcy	2018 OOR	May/June 2021
Clayton Improvement Association	Matt Siver & Mike Bourcy	2020 HB	May/June 2021
Frontier Housing	Matt Siver	2018 OOR	May/June 2021
Snowbelt Housing Company, Inc.	Matt Siver	2020 OOR	May/June 2021
North County Housing Council	Matt Taylor	2019 HB	May/June 2021
St. Lawrence County CDP	Matt Taylor	2017 OOR	May/June 2021
Neighbors of Watertown	Matt Taylor	2020 CHDO HB	May/June 2021
Rental Project Monitoring Plan & Schedule			
<u>Project & Location</u>	<u>Staff Assigned</u>	<u>Program & IDIS</u>	<u>Monitoring Date</u>
Pine Crest Manor - Copenhagen	Matt Taylor	Snow Belt 14 & 15 CHDO RR # 1833	May/June 2021
Iroquois Village - Rooseveltown	Matt Taylor	Mohawk 16 -19 CHDO RR # 1845	May/June 2021

Grantee SF-424's and Certification(s)

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.



PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Chair, Jefferson County Board of Legislators
APPLICANT ORGANIZATION Jefferson County/North Country HOME Consortium	DATE SUBMITTED 

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☐ New
☒ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:** North Country HOME Consortium

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

15-600457

*** c. Organizational DUNS:**

0773080390000

d. Address:

*** Street1:** 175 Arsenal Street

Street2:

*** City:** Watertown

County/Parish:

*** State:**

NY: New York

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:** 13601

e. Organizational Unit:

Department Name:

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mr.

*** First Name:**

Michael

Middle Name:

*** Last Name:**

Bourcy

Suffix:

Title: Director, Department of Planning

Organizational Affiliation:

*** Telephone Number:** 315-785-3144

Fax Number:

*** Email:** mbourcy@co.jefferson.ny.us

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

North Country HOME Consortium - Complete 15 units of Owner Occupied Rehab in Jefferson & Lewis Counties, also provide 8 households in Jefferson County with Home-Buyer Assistance to purchase 8 homes.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:*** a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:* a. Start Date: * b. End Date: **18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="818,635.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="818,635.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title: * Telephone Number: Fax Number: * Email:

* Signature of Authorized Representative:

* Date Signed:

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature of Authorized Official

Date

Title